

Profile:



Carl Johnson | ★★★★★

Business Philosophy

The mission of our practice is to provide comprehensive fiduciary advisory services that help clients, both institutional and individual, make intelligent, educated choices that enable them to attain their goals and lead fulfilling lives or advance the mission of their institution.

As investment fiduciaries and financial life planners, we take our role as stewards of your financial resources very seriously, as though we were managing the financial well-being of our own family members. Since 1988 our financial advisory relationship has been marked by the highest ethical standards, with our fee-only services ensuring that you receive responsible, independent advice.

Financial Background

I have the following degrees or years of college: University of Illinois - BA
Jacksonville University - MBA

I have the following years of financial services experience: 15

I hold following certifications and designations: CFP®, AIF®, NAPFA-RA

I am a member of the following associations:

FPA, NAPFA
New Hampshire Estate Planning Council

I am a member of a firm or team of professionals

Total number of professionals: 4

Combined years of experience: 50+

Combined number of degrees: 9

Combined certifications: CFP®, AIF®, AIFA®, ChFC®, NAPFA-RA, CLU®

Advisor Comments

I have been a fee-only fiduciary advisor and financial strategist at Ames Planning Associates since 2001. My clients have seen first-hand the advantage I and my team give them as their wealth manager.

I began my professional career an officer in the U.S. Navy following graduation from the University of Illinois where I earned a bachelor's degree in political science. I earned an MBA in 1998 with a degree concentration in finance from Jacksonville University; graduating summa cum laude. In 2000, I became a CFP® professional – the recognized standard of excellence for personal financial planning.

Licensing and Compliance

I am a Registered Investment Advisor:	Yes
I am an Investment Advisor Representative:	Yes
I acknowledge I am a fiduciary	Yes
I take discretion for investment decisions	Yes
I hold the following security licenses:	None Licenses are held by advisors who can sell products. We do not sell any products. We are fee-only fiduciaries.
My CRD or IARD number is:	107703
My Broker/Dealer is:	None
I hold the following insurance licenses:	None Licenses are held by advisors who can sell products. We do not sell any products. We are fee-only fiduciaries.
My insurance license number is:	None
My compliance record has:	No Disclosures
My criminal record has:	No Disclosures
I agree to provide full disclosure to all investors who hire me through the Registry. The disclosures include: Potential conflicts of interest, compensation, and investment expenses.	

Advisor Comments

My client focused philosophy demands that I maintain the highest ethical and educational standards, while fostering an attentive, trusting relationship with each client.

As an Accredited Investment Fiduciary® I have attained a thorough knowledge of the Global Fiduciary Standard of Excellence for Investment Advisors. The scope of the "Standard" is established by twenty-four "Practices" which provide the foundation and framework for a rational, disciplined investment program. Adherence to these Practices as a whole helps our clients, whether individuals or institutions, minimize risk and improve long-term performance.

Minimums and Compensation

My minimum fee for an initial meeting is:	
My minimum asset requirement is:	\$ 500,000
My method(s) of compensation are:	
Planning services:	Asset-based fee Fixed fee Hourly fee
Investment Services:	Asset-based fee Fixed fee Hourly fee
Project Services:	Fixed fee,Hourly fee

Advisor Comments

A free initial consultation is offered to prospective clients or trustees. Our minimum asset requirement is \$500,000 (Illinois minimum asset requirement is \$2,000,000).

As fee-only fiduciary advisors, we provide truly independent and objective financial advice that reflects each situation. We do not sell products and receive no commissions, receiving compensation solely from fees paid to us by our clients, after we have provided services.

As an independent, fee-only firm, Ames Planning Associates is free from conflicts of interest, which is especially important when working with institutional clients, high-net-worth individuals and families.

Professional Services

Financial Services:

- Planning services
- Investment advisory services

Planning services:

Financial, Retirement, College, Estate, Tax, Charitable,
Other:
For Institutional and Individual Trustees:
Fiduciary training and education; Investment fiduciary reviews; Investment Fiduciary analysis and implementation.

Investment services:

Strategy development,
Written investment policy,
Asset allocation,
Money manager selection,
Asset management,
Performance reporting,
Tax efficient strategies,
Other:
Modeling and risk/return analysis.

Project services:

Financial planning by the hour,
Financial tune-ups,
Other:
For Institutional and Individual Trustees:
Fiduciary training and education; Investment fiduciary reviews; Investment Fiduciary analysis and implementation.

Advisor Comments

Our clients enjoy:

: In-depth and thoughtful discussions of their organizational or financial life goals for a thorough financial plan designed to help them reach their objectives.

: Peace of mind that their organization's or family's financial security is well cared for as a result of our fee-only comprehensive financial planning services and ongoing investment analysis and management.

: Our expertise in tax-efficient, indexed wealth plans for individuals, trusts and foundations, designed to maximize our clients' returns over time.

: Coordination with our clients' legal, accounting and tax professionals to make sure that all advisors are working in concert toward the same goals.

Client Services

I provide services to the following number of clients:	100
I provide services for the following amount of assets:	\$ 100M+
I supplement meetings with telephone calls:	
I provide services to remote clients:	

Advisor Comments

We meet with our clients regularly at our office or their home or office. At these visits, we advise our clients in a timely manner on the status of their investments and any adjustments to be considered. We take the time to talk with each client about their financial plan to evaluate any changes. We also discuss portfolio performance, take time to explain reports, answer questions, and review each client's investment plan to ensure that it is on track.

Working in partnership with each client and taking a continual, genuine interest in what is happening in their lives or organization reflects in our ongoing advice and ensures their customized financial plan remains aligned with their objectives.

Certification



I certify that all of the information in my professional profile is complete, accurate, and current.

Name: Carl Johnson
Most Recent Update: 08-28-2008